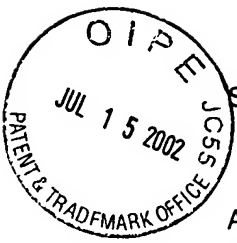


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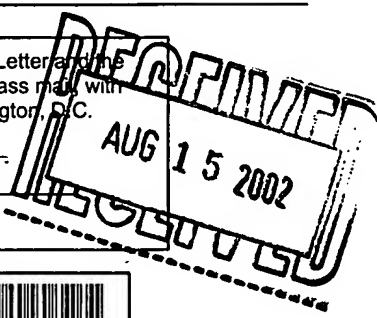
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	P. P. Wu et al.	Examiner:	Unassigned
Serial No.:	10/014218	Group Art Unit:	2877
Filed:	October 22, 2001	Docket No.:	980.1079US01
Title:	LIGHT FREQUENCY STABILIZER		

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on July 9, 2002

Iain A. McIntyre
Name

J. A. McIntyre
Signature



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AUG 14 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2600



- ☒ Information Disclosure Statement, two 1449 Forms, copies of 40 references
- ☒ Transmittal Sheet
- ☒ Return postcard

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC
6500 City West Parkway, Suite 100
Minneapolis, MN 55344
(952) 253-4110

Date: July 9, 2002

By: J. A. McIntyre
Iain A. McIntyre
(952) 253-4110
Reg. No. 40,337

IAM/kah

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JUL 16 2002
TC 2600 MAIL ROOM



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AUG 14 2002

Technology Center 2600

RECEIVED

PATENT

S/N 10/014218

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: P. P. Wu et al.

Examiner: TC 2800 MAIL ROOM Unassigned

Serial No.: 10/014218

Group Art Unit: 2877

Filed: October 22, 2001

Docket No.: 980.1079US01

Title: LIGHT FREQUENCY STABILIZER

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on July 9, 2002

Iain A. McIntyre
Name


Signature

Information Disclosure Statement
Under 37 C.F.R. §1.97(b)

Assistant Commissioner for Patents
Washington, D.C. 20231



Dear Sir:

Applicants respectfully submit the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This statement should be considered because it is filed before the mailing date of the first Office Action on the merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §1.98(d).



No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

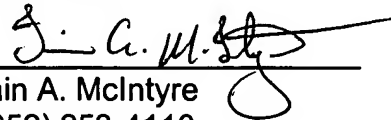
Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicants request that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

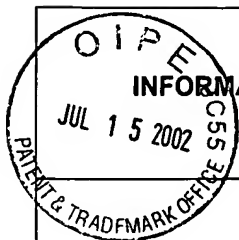
Altera Law Group, LLC
6500 City West Parkway, Suite 100
Minneapolis, MN 55344
(952) 253-4110

Date: July 9, 2002

By: 

Iain A. McIntyre
(952) 253-4110
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IAM/kah



INFORMATION DISCLOSURE STATEMENT

PTO Form 1449

Docket Number
980.1079US01

Serial Number
10/014218

Applicant(s)
P. P. Wu et al.

Filing Date
October 22, 2001

Gr up Art Unit
2877

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS

		U.S. Application Serial No. 09/694,148, filed October 23, 2000.
		U.S. Application Serial No. 09/694,691, filed October 23, 2000.
		U.S. Application Serial No. 09/694,150, filed October 23, 2000.

Examiner:

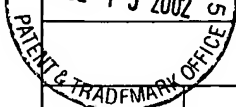
Date Considered:

INFORMATION DISCLOSURE STATEMENT

PTO Form 1449

Docket Number
980.1079US01Serial Number
10/014218Applicant(s)
P. P. Wu et al.Filing Date
October 22, 2001Group Art Unit
2877

JUL 15 2002



U.S. PATENT DOCUMENTS

EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (IF APPROPRIATE)
		4,896,325	01/23/90	Coldren			RECEIVED
		5,167,444	12/01/92	Hall			
		5,323,409	06/21/94	Laskoskie et al.			AUG 14 2002
		5,428,700	06/27/95	Hall			
		5,621,828	04/15/97	Baets et al.			Technology Center 2600
		5,715,265	02/03/98	Epworth			
		5,798,859	08/25/98	Colbourne et al.			
		5,825,792	10/20/98	Villeneuve et al.			
		5,828,689	10/27/98	Epworth			
		5,943,152	08/24/99	Mizrahi et al.			
		5,956,356	09/21/99	Bergmann et al.			
		5,963,686	10/05/99	Zheng et al.			
		6,064,681	05/16/00	Ackerman			
		6,067,181	05/23/00	Mizrahi			
		6,094,271	07/25/00	Maeda			
		6,111,681	08/29/00	Mizrahi et al.			
		6,122,301	09/19/00	Tei et al.			
		6,125,128	09/26/00	Mizrahi			
		6,212,210	04/03/01	Serizawa			
		6,233,263	05/15/01	Chang-Hasnain et al.			
		6,243,403	06/05/01	Broutin et al.			
		6,272,157	08/07/01	Broutin et al.			
		2001/0007501 A1	07/12/01	Frojd			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS

		M. Oberg et al., "74 nm Wavelength Tuning Range of an InGaAsP Vertical Grating Assisted Codirectional Coupler Laser with Rear Sampled Grating Reflector," <i>IEEE Photonics Technology Letters</i> , 5(7):735-738 (July 1993).
		P.J. Rigole et al., "114-nm Wavelength Tuning Range of a Vertical Grating Assisted Codirectional Coupler Laser with a Super Structure Grating Distributed Bragg Reflector," <i>IEEE Photonics Technology Letters</i> , 7(7):697-699 (July 1995).
		Derickson, "Static Fizeau Interferometer Wavelength Meter", <i>Fiber Optic Test and Measurement</i> , Prentice-Hall, ISBN 0-13-534330-5, 163-165 (1998).
		U.S. Application Serial No. 09/871,230, filed May 31, 2001.
		U.S. Application Serial No. 10/015,151, filed December 11, 2001.
		U.S. Application Serial No. 10/014,278, filed December 11, 2001.
		U.S. Application Serial No. 10/014,277, filed December 11, 2001.

Examiner:

Date Considered: